

A GENERALIZATION OF PETERSEN'S MATCHING THEOREM

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(joint work with Prof. Michael A. Henning)

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- What is a graph?
- Vertex degrees
- Matchings in graphs
- Petersen's Theorem
- Tutte-Berge Formula
- Matchings in 2-connected graphs of even regularity
- Matchings in 2-connected graphs of odd regularity
- Matchings in 2-connected non-regular graphs

What is a graph?

Definition (A graph)

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- A **graph** G is a finite nonempty set of objects, called **vertices** (the singular is **vertex**), together with a (possibly empty) set of unordered pairs of distinct vertices, called **edges**.
- The set of vertices of the graph G is called the **vertex set** of G denoted by $V(G)$ and the set of edges of the graph G is called the **edge set** of G denoted by $E(G)$.

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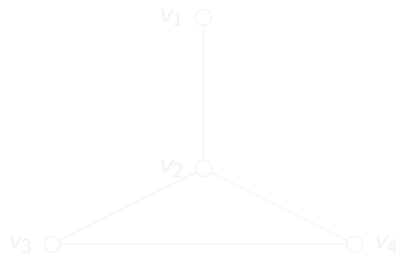
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Example

Example of a graph

- $V(G) = \{v_1, v_2, v_3, v_4\}$
- $E(G) = \{v_1v_2, v_2v_3, v_2v_4, v_3v_4\}$

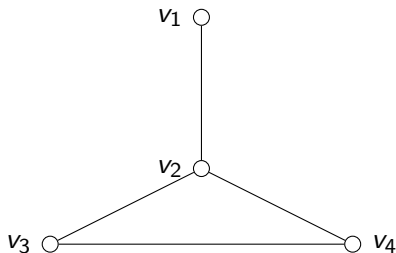


A graph G

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A graph **G**

Vertex degrees

Definition (Vertex Degree)

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- The **degree** of a vertex v is the number of edges incident with v denoted by $d_G(v)$.
- The minimum and maximum degree of G is denoted by $\delta(G)$ and $\Delta(G)$, respectively.
- G is k -regular if $\delta(G) = \Delta(G) = k$.
- A 3-regular graph is also called a **cubic graph**.

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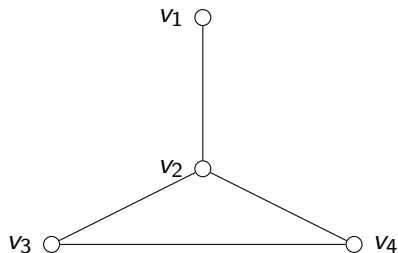
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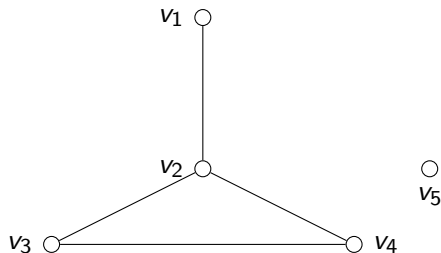
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A graph **G**

The degrees of vertices of G

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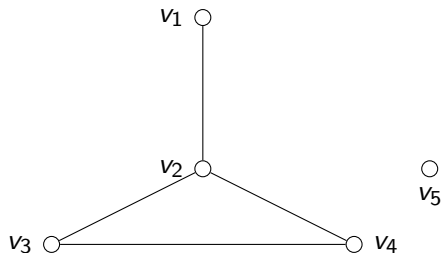


A graph G

The degrees of vertices of G

- $d_G(v_1) = 1$
- $d_G(v_2) = 3$
- $d_G(v_i) = 2$ for $i = 3, 4$
- $d_G(v_5) = 0$
- $\delta(G) = 0$
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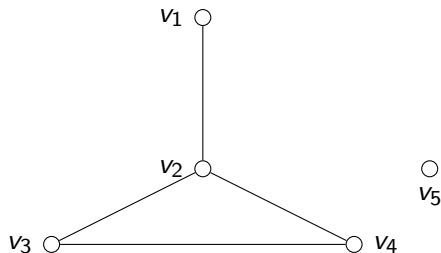


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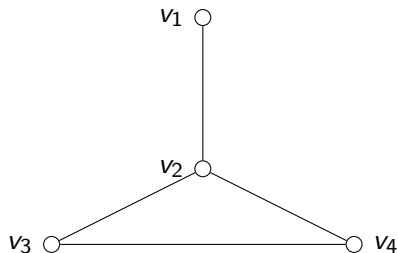


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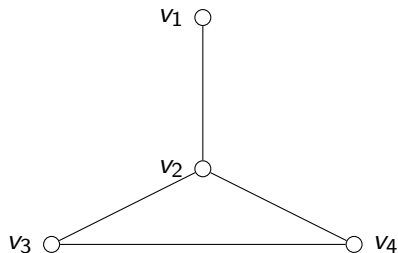


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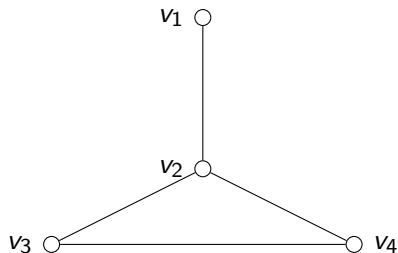
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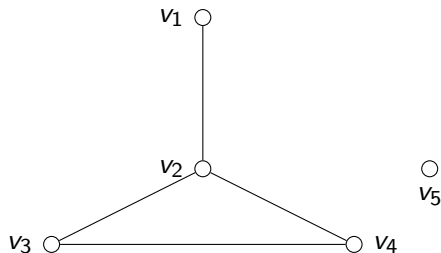


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- A set of edges in a graph G is **independent** if no two edges in it are adjacent in G ; that is, an independent edge set is a set of edges without common vertices.
- A **matching** in a graph G is a set of independent edges.
- A **perfect matching** is a matching M such that every vertex of G is incident with an edge of M .
- The **matching number** of G , denoted by $\alpha'(G)$, is the maximum cardinality of a matching in G .

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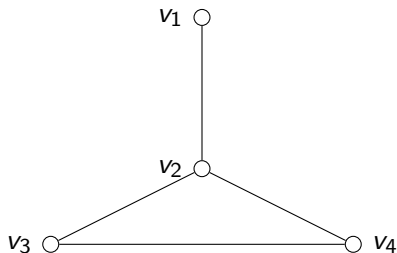
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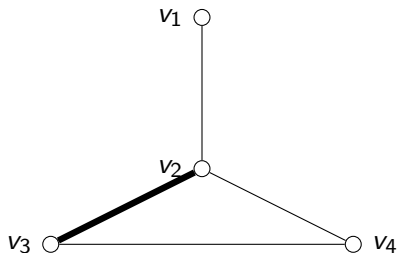
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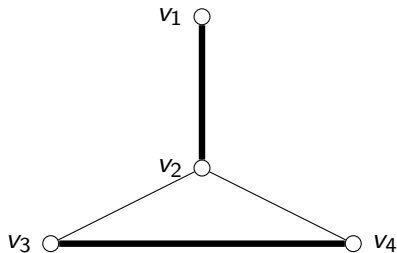
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Example



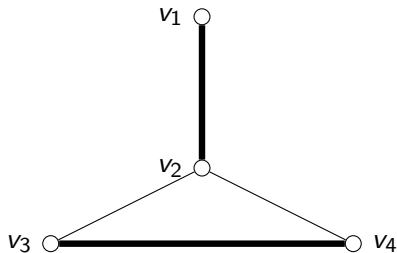
A matching of size **1**.

Example



A matching of size **2**. Hence, $\alpha'(G) = 2$.

Example



A matching of size **2**. Hence, $\alpha'(\mathbf{G}) = \mathbf{2}$.

Petersen's Theorem

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Theorem 1 (Petersen, 1891)

Every **cubic, bridgeless graph** contains a perfect matching.

R. Whitney, Congruent graphs and the connectivity of graphs. *Am. J. Math* 54 (1932), 150 – 168.

Theorem 2 (Whitney, 1932)

The **vertex-connectivity** of any graph is at most its **edge-connectivity**, which in turn is at most its **minimum degree**.

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Petersen's Theorem

- If a connected cubic graph has a **vertex cut** of cardinality i , then it has an **edge cut** of cardinality i , for $i = 1, 2$.
- Hence, by the result of Whitney, we infer that the vertex-connectivity and edge-connectivity of a connected cubic graph are equal.

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If G is a **2-connected 3-regular graph** of order n , then $\alpha'(G) = \frac{1}{2}n$.

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Tutte-Berge Formula

- Let G be a graph.

Let $G - X$ be the graph obtained from G by deleting the vertices in X and all edges incident with vertices in X for some $X \subseteq V(G)$.
Let $oc(G)$ be the number of odd components in G .

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Theorem 4 (Tutte-Berge, 1950s)

For every graph G of order n ,

$$\alpha'(G) = \min_{X \subseteq V(G)} \frac{1}{2} (n + |X| - oc(G - X)).$$

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Matchings in 2-connected graphs of even regularity

M. A. Henning, A. Yeo, Tight lower bounds on the size of a matching in a regular graph. **Graphs Combin.** 23 (2007), 647–657.

Theorem 5 (MAH & Yeo, 2007)

For $k \geq 4$ even, if G is a connected k -regular graph of order n , then

$$\alpha'(G) \geq \min \left\{ \left(\frac{k^2 + 4}{k^2 + k + 2} \right) \times \frac{n}{2}, \frac{n-1}{2} \right\},$$

and this bound is tight.

All graphs achieving equality on this bound are 2-connected!

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Matchings in 2-connected graphs of even regularity

A k -diamond D_k

- For $k \geq 3$, $D_k = K_{k+1} - e$ where e is an edge of K_{k+1} .
- The vertex of degree $k - 1$ in D_k is called a **link vertex**.



Figure: The 4-diamond D_4

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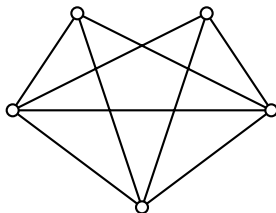


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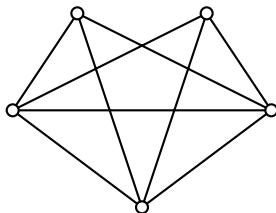


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Matchings in 2-connected graphs of even regularity

A bipartite graph $B_{k,l}$

For $k \geq 4$ even and $l \geq 1$, let $B_{k,l}$ be a connected bipartite graph of order $n = (k+2)l$ with partite sets X and Y , where $|X| = 2l$ and $|Y| = kl$, and where every vertex in X has degree k and every vertex in Y has degree 2 .



Figure: The bipartite graph $B_{4,1}$

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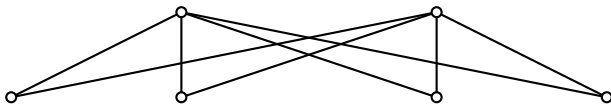


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Matchings in 2-connected graphs of even regularity

The family $\mathcal{H}_{k,2\text{conn}}$

- Let $\mathbf{H}_{k,\ell}$ be obtained from $\mathbf{B}_{k,\ell}$ as follows:
- The graph $\mathbf{B}_{k,\ell}$ is called the **underlying bipartite graph** of $\mathbf{H}_{k,\ell}$.



Figure: The graph $\mathbf{H}_{4,1}$ in the family $\mathcal{H}_{4,2\text{conn}}$.

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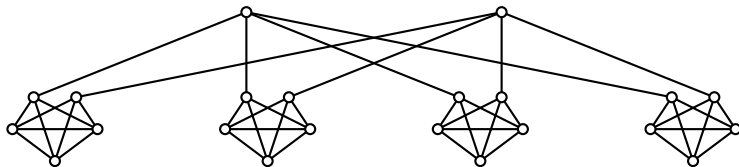


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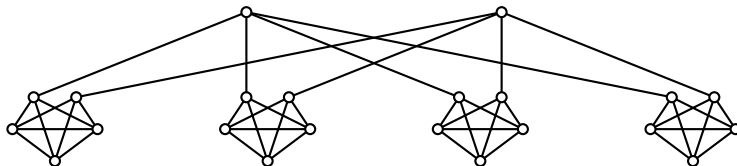


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Matchings in 2-connected graphs of even regularity

M. A. Henning, Z. B. Shoji, A characterization of graphs with given maximum degree and smallest possible matching number: II, **Discrete Math.** **345** (3) (2022), 112331.

Theorem 6 (MAH & ZBS, 2022)

For $k \geq 4$ an even integer, if G is a 2-connected k -regular graph of order n and $\alpha'(G) < \frac{1}{2}(n-1)$, then

$$\alpha'(G) \geq \left(\frac{k^2 + 4}{k^2 + k + 2} \right) \times \frac{n}{2},$$

with equality if and only if $G \in \mathcal{H}_{k,2\text{conn}}$.

Matchings in 2-connected graphs of even regularity

Observation 1 (MAH & Yeo, 2007)

For an integer $k \geq 2$ and a graph G with $\Delta(G) \leq k$, if

$$\sum_{x \in V(G)} (k - d_G(x)) < k,$$

then $|V(G)| \geq k + 1$.

Matchings in 2-connected graphs of odd regularity

- Let G be a graph.

For $X \subseteq V(G)$, we denote by $E_G(X)$ the set of all edges joining the set X and the set V in G .

- For $r, s \geq 1$, a **double star** $S(r, s)$ is a tree with exactly two (adjacent) vertices that are not leaves. In particular, $S(1, 1)$ is obtained from a star $K_1, 2$ by subdividing one edge exactly once.

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Matchings in 2-connected graphs of odd regularity

A k -unit

- For $k \geq 5$ an odd integer,
 - The vertices of degree less than k in a k -unit are called **link vertices**.
 - For $i = 1, 2$, a link vertex of degree $k - i$ is called a **type- i link vertex**.

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- For $k \geq 5$ an odd integer, if

$$U_1 = K_3 \cup \left(\frac{k-1}{2}\right) K_2 \quad \text{and} \quad U_2 = S(1,2) \cup \left(\frac{k-3}{2}\right) K_2,$$

then

- the complement $\overline{U_1}$ of U_1 is a k -unit order $k+2$ that contains $k-1$ vertices of degree k and three vertices of degree $k-1$.
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Matchings in 2-connected graphs of odd regularity

The family \mathcal{R}_k

- For $k \geq 5$ odd and $\ell \geq 1$, let $\mathcal{R}_{k,\ell}$ be a 2-connected k -regular graph obtained from the disjoint union of $k\ell$ k -units by adding an independent set X of 3ℓ vertices and adding edges as follows.

- For $k \geq 5$ odd, let

$$\mathcal{R}_k = \bigcup_{\ell \geq 1} \mathcal{R}_{k,\ell}.$$

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 - Add an edge from each type-1 link vertex to one vertex in X and add an edge from each type-2 link vertex to two distinct vertices in X .
 - These $3k\ell$ edges are added in such a way that the resulting graph $\mathcal{R}_{k,\ell}$ is 2-connected and each vertex of X has degree k in $\mathcal{R}_{k,\ell}$.
 - By construction, the resulting graph $\mathcal{R}_{k,\ell}$ is a 2-connected k -regular graph.
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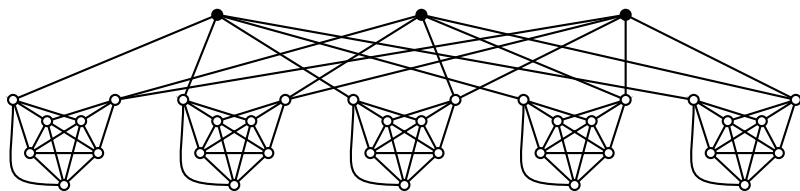


Figure: A graph G in the family $\mathcal{R}_{5,1}$

- G is built from five 5-units.
- Every unit has one type-1 link vertex and one type-2 link vertex.

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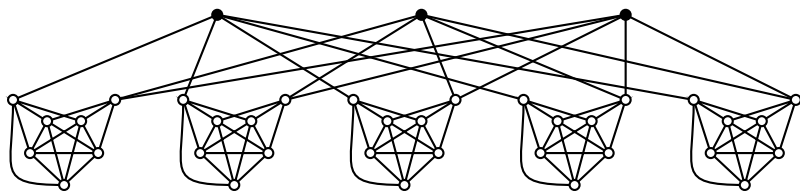


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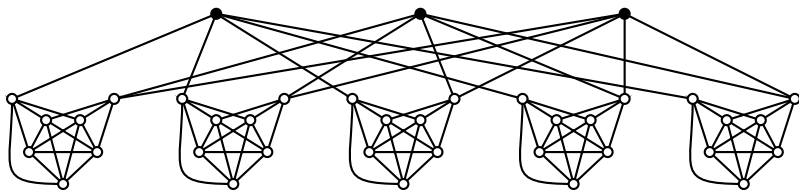


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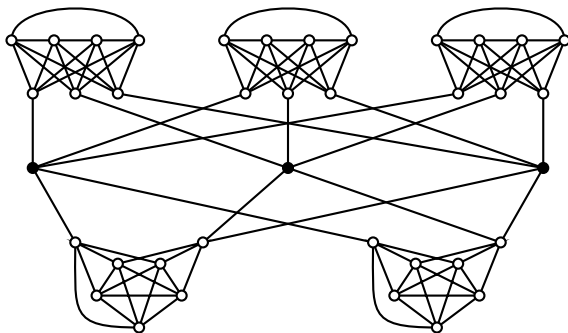


Figure: A graph H in the family $\mathcal{R}_{5,1}$

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Matchings in 2-connected graphs of odd regularity

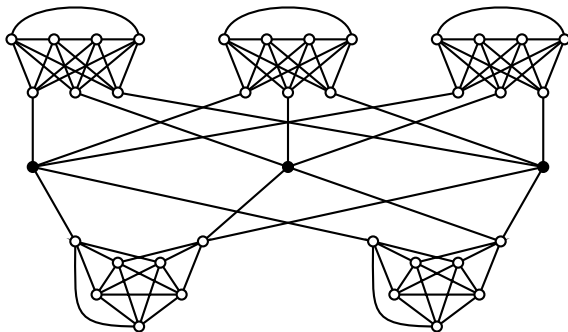


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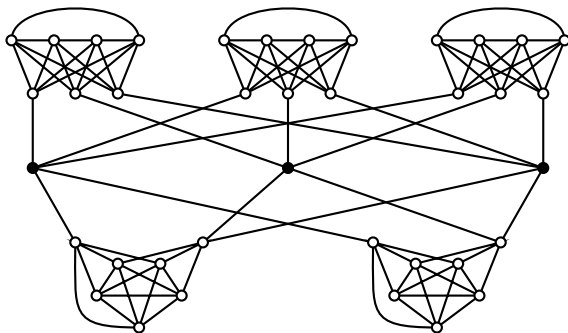


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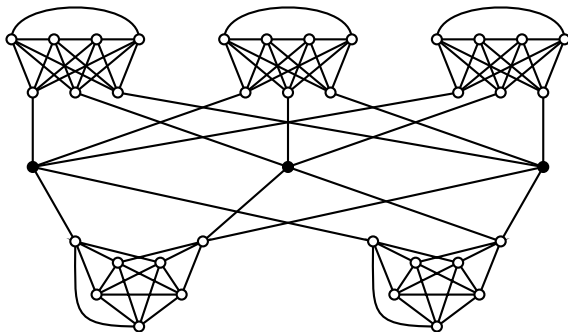


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- If $\mathbf{G} \in \mathcal{R}_k$ for some $k \geq 5$ odd, then $\mathbf{G} = \mathcal{R}_{k,\ell}$ for some $\ell \geq 1$.
 - Moreover, if \mathbf{G} has order n , then $n = \ell(k^2 + 2k + 3)$ and
 - \mathbf{G} has matching number

$$\begin{aligned}\alpha'(\mathbf{G}) &= |\mathbf{X}| + \frac{1}{2}(k+1) \times k\ell \\ &= \frac{1}{2}\ell(k^2 + k + 6) \\ &= \left(\frac{k^2 + k + 6}{k^2 + 2k + 3} \right) \times \frac{n}{2}.\end{aligned}$$

Observation 2

For $k \geq 5$ an odd integer, if $\mathbf{G} \in \mathcal{R}_k$ has order n , then \mathbf{G} is a 2-connected k -regular graph satisfying

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Matchings in 2-connected graphs of odd regularity

We are now in a position to present a generalization of Petersen's matching theorem.

Theorem 7 (MAH & ZBS, 2022)

For $k \geq 3$ an odd integer, if G is a 2-connected k -regular graph of order n , then

$$\alpha'(G) \geq \left(\frac{k^2 + k + 6}{k^2 + 2k + 3} \right) \times \frac{n}{2}, \quad (1)$$

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- Let $X \subseteq V(G)$ such that $\alpha'(G) = \frac{1}{2}(n + |X| - \text{oc}(G - X))$.
- $|X| = \emptyset \implies \alpha'(G) = \frac{1}{2}n$, since in this case $\text{oc}(G) = 0$, noting that n is even. Hence, we may assume that $X \neq \emptyset$.
- Since G is 2-connected, G is bridgeless, and so every odd component in $(G - X)$ is joined in G to X by at least two edges.
- Moreover, since k is odd, no odd component in $(G - X)$ is joined in G to X by exactly two edges.
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- $|X| = 0 \implies \alpha'(G) = \frac{1}{2}n$, since in this case $\text{oc}(G) = 0$, noting that n is even. Hence, we may assume that $X \neq \emptyset$.
- Since G is 2-connected, G is bridgeless, and so every odd component in $(G - X)$ is joined in G to X by at least two edges.
- Moreover, since k is odd, no odd component in $(G - X)$ is joined in G to X by exactly two edges.
- Thus, every odd component in $(G - X)$ is joined in G to X by at least three edges.

Matchings in 2-connected graphs of odd regularity

Proof Sketch

- Let
 - $Y = V(G) \setminus X$,
 - y_k denote the number of odd components in $G - X$ that are joined in G to X by at least k edges.
 - y_3 denote the number of odd components in $G - X$ that are joined in G to X by less than k edges.
- Thus, $oc(G - X) = y_3 + y_k$.
- If $[X, Y]$ denotes the set of all edges between X and Y , then by simple counting we have

$$k|X| \geq |[X, Y]| \geq 3y_3 + ky_k,$$

and so

$$|X| \geq \frac{3y_3}{k} + y_k \geq \frac{3y_3}{k}. \quad (2)$$

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Matchings in 2-connected graphs of odd regularity

Proof Sketch

- If H is a component of $G - X$, then $\sum_{x \in V(H)} (k - d_H(x))$ is the number of edges between H and X in G .
- $\sum_{x \in V(H)} (k - d_H(x)) < k \implies |V(H)| \geq k + 1$.
- If H is an odd component of $G - X$, then $|V(H)| \geq k + 2$.
- Hence every odd component in $G - X$ that is joined to X with less than k edges must contain at least $k + 2$ vertices, implying that

$$n \geq |X| + y_k + y_3(k + 2) \geq |X| + y_3(k + 2). \quad (3)$$

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- We therefore have the following.

$$\begin{aligned}\alpha'(\mathbf{G}) &= \frac{1}{2}(\mathbf{n} + |\mathbf{X}| - \text{oc}(\mathbf{G} - \mathbf{X})) \\ &= \left(\frac{k^2 + k + 6}{k^2 + 2k + 3} \times \frac{\mathbf{n}}{2} \right) + \left(\frac{k - 3}{k^2 + 2k + 3} \times \frac{\mathbf{n}}{2} \right) + \\ &\quad \left(\frac{|\mathbf{X}| - (y_3 + y_k)}{2} \right).\end{aligned}$$

- By Inequalities (2) and (3) we have

$$\begin{aligned}\alpha'(\mathbf{G}) &\geq \left(\frac{k^2 + k + 6}{k^2 + 2k + 3} \times \frac{\mathbf{n}}{2} \right) + \left(\frac{k - 3}{k^2 + 2k + 3} \times \frac{|\mathbf{X}| + y_3(k + 2)}{2} \right) + \\ &\quad \left(\frac{\frac{3y_3}{k} + y_k - (y_3 + y_k)}{2} \right)\end{aligned}$$

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- Suppose that G achieves equality in Inequality (4), that is,

$$\alpha'(G) = \left(\frac{k^2 + k + 6}{k^2 + 2k + 3} \right) \times \frac{n}{2}.$$

- We must have equality in both Inequalities (2) and (3).
- Equality in Inequality (2) implies that X is an independent set and every vertex in X has degree k . Furthermore, $oc(G - X) = y_3$ and every odd component in $G - X$ is joined to X by exactly three edges.
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- By the way in which the family \mathcal{R}_k is constructed, this in turn implies that $\mathbf{G} \in \mathcal{R}_k$.
- This completes the characterization of the extremal graphs achieving equality in Inequality (4). □

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Matchings in 2-connected non-regular graphs

The family \mathcal{G}_k

Let $\mathcal{G}_k = \{\mathbf{B}_{k,\ell} \mid \ell \geq 1\}$.

Theorem 8 (MAH & ZBS, 2022)

For $k \geq 3$, if \mathbf{G} is a 2-connected graph of order n and maximum degree $\Delta(\mathbf{G}) = k$, then

$$\alpha'(\mathbf{G}) \geq \frac{2n}{k+2}, \quad (4)$$

with equality if and only if $\mathbf{G} \in \mathcal{G}_k$.

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*Thank
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