

Congruence Lattices of Graphs

Klarise Marais, Andrew Craig

SAMS Conference

8 December 2022



UNIVERSITY
OF
JOHANNESBURG

Outline of the Talk

- 1 Lattices
- 2 Graph Congruences
- 3 Modularity and Distributivity of Congruence Lattices
- 4 Structure of Graph Congruence Lattices
- 5 References

Lattices

Definition 1 (cf. Burris and Sankappanavar, 2012: 6)

A *lattice* is a partially ordered set (a set along with a reflexive, transitive, and antisymmetric binary relation) $\langle X, \leq \rangle$ where each pair of elements has a least upper bound, and a greatest lower bound.

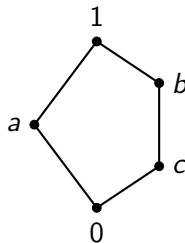
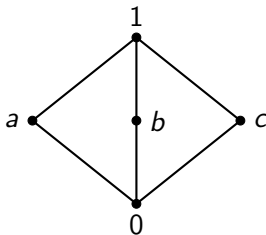
Lattices

Definition 1 (cf. Burris and Sankappanavar, 2012: 6)

A *lattice* is a partially ordered set (a set along with a reflexive, transitive, and antisymmetric binary relation) $\langle X, \leq \rangle$ where each pair of elements has a least upper bound, and a greatest lower bound.

Example 2

The names of the following lattices (left to right) are: The 3-element chain, M_3 , and N_5 .



Distributivity and modularity

Definition 3 (cf. Burris and Sankappanavar, 2012)

A *distributive lattice* is a lattice which satisfies the following laws:

- ❶ $x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$
- ❷ $x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z)$

Distributivity and modularity

Definition 3 (cf. Burris and Sankappanavar, 2012)

A *distributive lattice* is a lattice which satisfies the following laws:

- ❶ $x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$
- ❷ $x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z)$

Definition 4 (cf. Burris and Sankappanavar, 2012)

A *modular lattice* is a lattice that satisfies the modular law:

$$x \leq y \implies x \vee (y \wedge z) = y \wedge (x \vee z)$$

Distributivity and modularity

Definition 3 (cf. Burris and Sankappanavar, 2012)

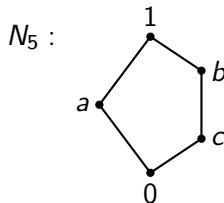
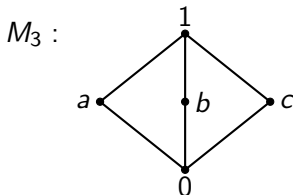
A *distributive lattice* is a lattice which satisfies the following laws:

- ❶ $x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$
- ❷ $x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z)$

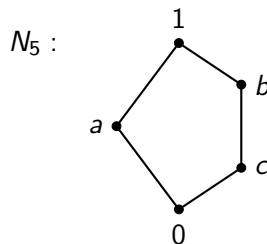
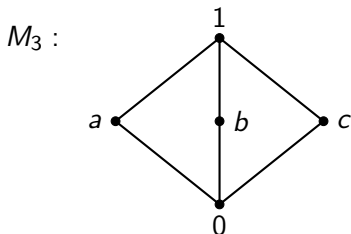
Definition 4 (cf. Burris and Sankappanavar, 2012)

A *modular lattice* is a lattice that satisfies the modular law:

$$x \leq y \implies x \vee (y \wedge z) = y \wedge (x \vee z)$$



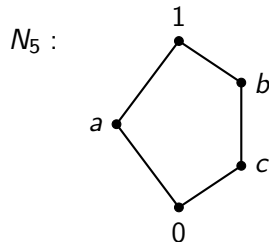
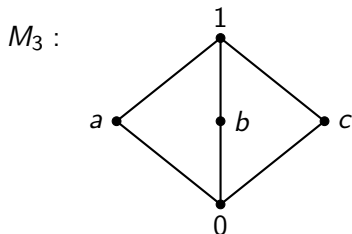
The M_3 - N_5 theorem



Theorem 5 (cf. Davey and Priestley, 2002)

A lattice is non-distributive if and only if it has a sublattice isomorphic to M_3 or N_5 .

The M_3 - N_5 theorem



Theorem 5 (cf. Davey and Priestley, 2002)

A lattice is non-distributive if and only if it has a sublattice isomorphic to M_3 or N_5 .

Theorem 6 (cf. Davey and Priestley, 2002)

A lattice is non-modular if and only if it has a sublattice isomorphic to N_5 .

Graph congruences

Definition 7 (Broere, Heidema and Veldsman, 2020)

Let $G = (V_G, E_G)$ be a graph with vertex set V_G and edge set E_G . A *congruence of G* is a pair $\theta = (\sim, \varepsilon)$ satisfying the following:

- (i) \sim is an equivalence relation on V_G
- (ii) ε , called a congruence edge-set, is a set of unordered pairs of elements from V_G satisfying:

$$E_G \subseteq \varepsilon \subseteq \{ab \mid a, b \in V_G\}$$

- (iii) ε satisfies the following substitution property with respect to \sim :
For all $x, y \in V_G$, $xy \in \varepsilon$, $x \sim a$ and $y \sim b$ implies $ab \in \varepsilon$

Graph congruences

Definition 7 (Broere, Heidema and Veldsman, 2020)

Let $G = (V_G, E_G)$ be a graph with vertex set V_G and edge set E_G . A *congruence* of G is a pair $\theta = (\sim, \varepsilon)$ satisfying the following:

- (i) \sim is an equivalence relation on V_G
- (ii) ε , called a congruence edge-set, is a set of unordered pairs of elements from V_G satisfying:

$$E_G \subseteq \varepsilon \subseteq \{ab \mid a, b \in V_G\}$$

- (iii) ε satisfies the following substitution property with respect to \sim :
For all $x, y \in V_G$, $xy \in \varepsilon$, $x \sim a$ and $y \sim b$ implies $ab \in \varepsilon$

Definition 8 (Broere, Heidema and Veldsman, 2020)

A congruence, $\theta = (\sim, \varepsilon)$, is *strong* if it satisfies the following:
 $\varepsilon = \{xy \mid x, y \in V_G \text{ and } \exists x'y' \in E_G \text{ with } x \sim x' \text{ and } y \sim y'\}$

Graph congruence lattices

These congruences form a lattice defined as follows:

Proposition 9 (Broere, Heidema and Veldsman, 2020)

Let $G = (V_G, E_G)$ be a graph with vertex set V_G and edge set E_G , and let $\text{Con}(G)$ denote the set of all the congruences of G . Let, for $\theta_1 = (\sim_1, \varepsilon_1)$, $\theta_2 = (\sim_2, \varepsilon_2) \in \text{Con}(G)$, the ordering is defined by:

$$\theta_1 \leq \theta_2 \text{ if and only if } \sim_1 \subseteq \sim_2 \text{ and } \varepsilon_1 \subseteq \varepsilon_2$$

Then $\langle \text{Con}(G), \leq \rangle$ forms a lattice.

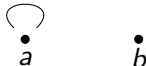
Congruence lattice example 1

Consider the following graph:



Congruence lattice example 1

Consider the following graph:


$$\sim_1 = \{\langle a, a \rangle, \langle b, b \rangle\} \quad \text{and} \quad \sim_2 = \{\langle a, a \rangle, \langle b, b \rangle, \langle a, b \rangle, \langle b, a \rangle\}$$

Congruence lattice example 1

Consider the following graph:



$$\sim_1 = \{\langle a, a \rangle, \langle b, b \rangle\} \quad \text{and} \quad \sim_2 = \{\langle a, a \rangle, \langle b, b \rangle, \langle a, b \rangle, \langle b, a \rangle\}$$

Substitution property: If $xy \in \varepsilon$, $x \sim a$ and $y \sim b$, then $ab \in \varepsilon$

The congruence edge sets corresponding to \sim_1 are:

$$\varepsilon_{11} = \{aa\}, \varepsilon_{12} = \{aa, bb\}, \varepsilon_{13} = \{aa, ab\}, \text{ and } \varepsilon_{14} = \{aa, bb, ab\}$$

The congruence edge set corresponding to \sim_2 is $\varepsilon_{21} = \{aa, ab, bb\}$

Congruence lattice example 1

Consider the following graph:



$$\sim_1 = \{\langle a, a \rangle, \langle b, b \rangle\} \quad \text{and} \quad \sim_2 = \{\langle a, a \rangle, \langle b, b \rangle, \langle a, b \rangle, \langle b, a \rangle\}$$

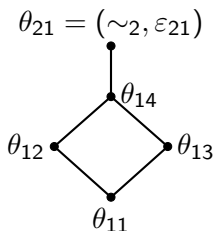
Substitution property: If $xy \in \varepsilon$, $x \sim a$ and $y \sim b$, then $ab \in \varepsilon$

The congruence edge sets corresponding to \sim_1 are:

$$\varepsilon_{11} = \{aa\}, \varepsilon_{12} = \{aa, bb\}, \varepsilon_{13} = \{aa, ab\}, \text{ and } \varepsilon_{14} = \{aa, bb, ab\}$$

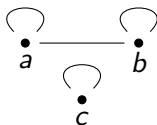
The congruence edge set corresponding to \sim_2 is $\varepsilon_{21} = \{aa, ab, bb\}$

The congruence lattice for this graph is then:



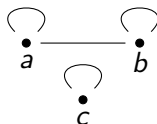
Congruence lattice example 2

Consider the following graph:



Congruence lattice example 2

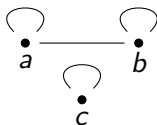
Consider the following graph:



$$\begin{aligned}\sim_1 &= \{\langle a, a \rangle, \langle b, b \rangle, \langle c, c \rangle\}, \sim_2 = \sim_1 \cup \{\langle a, b \rangle, \langle b, a \rangle\}, \\ \sim_3 &= \sim_1 \cup \{\langle a, c \rangle, \langle c, a \rangle\}, \sim_4 = \sim_1 \cup \{\langle b, c \rangle, \langle c, b \rangle\}, \text{ and} \\ \sim_5 &= \sim_1 \cup \{\langle a, b \rangle, \langle b, a \rangle, \langle a, c \rangle, \langle c, a \rangle, \langle b, c \rangle, \langle c, b \rangle\}\end{aligned}$$

Congruence lattice example 2

Consider the following graph:



$$\begin{aligned}\sim_1 &= \{\langle a, a \rangle, \langle b, b \rangle, \langle c, c \rangle\}, \sim_2 = \sim_1 \cup \{\langle a, b \rangle, \langle b, a \rangle\}, \\ \sim_3 &= \sim_1 \cup \{\langle a, c \rangle, \langle c, a \rangle\}, \sim_4 = \sim_1 \cup \{\langle b, c \rangle, \langle c, b \rangle\}, \text{ and} \\ \sim_5 &= \sim_1 \cup \{\langle a, b \rangle, \langle b, a \rangle, \langle a, c \rangle, \langle c, a \rangle, \langle b, c \rangle, \langle c, b \rangle\}\end{aligned}$$

The congruence edge-sets corresponding to \sim_1 are:

$$\begin{aligned}\varepsilon_{11} &= \{aa, bb, cc, ab\}, \varepsilon_{12} = \{aa, bb, cc, ab, ac\}, \\ \varepsilon_{13} &= \{aa, bb, cc, ab, bc\}, \text{ and } \varepsilon_{14} = \{aa, bb, cc, ab, ac, bc\}\end{aligned}$$

The congruence edge-sets corresponding to \sim_2 are:

$$\varepsilon_{21} = \{aa, bb, cc, ab\}, \text{ and } \varepsilon_{22} = \{aa, bb, cc, ab, ac, bc\}$$

Graph congruence example 2 continued

The congruence edge-set corresponding to \sim_3 is:

$$\varepsilon_{31} = \{aa, bb, cc, ab, ac, cb\}$$

The congruence edge-sets corresponding to \sim_4 and \sim_5 , ε_{41} and ε_{51} , respectively, are the same as ε_{31}

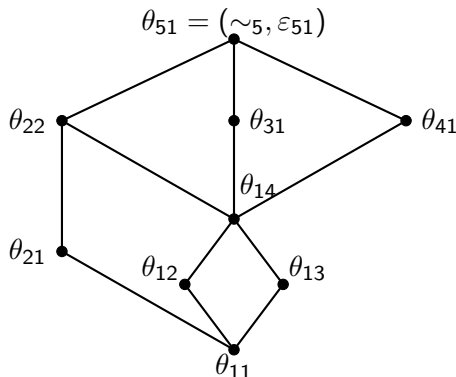
Graph congruence example 2 continued

The congruence edge-set corresponding to \sim_3 is:

$$\varepsilon_{31} = \{aa, bb, cc, ab, ac, cb\}$$

The congruence edge-sets corresponding to \sim_4 and \sim_5 , ε_{41} and ε_{51} , respectively, are the same as ε_{31}

The congruence lattice for this graph is then:



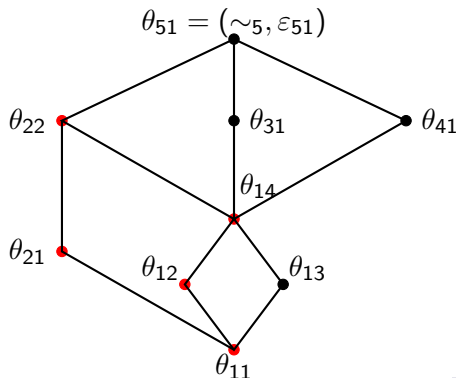
Graph congruence example 2 continued

The congruence edge-set corresponding to \sim_3 is:

$$\varepsilon_{31} = \{aa, bb, cc, ab, ac, cb\}$$

The congruence edge-sets corresponding to \sim_4 and \sim_5 , ε_{41} and ε_{51} , respectively, are the same as ε_{31}

The congruence lattice for this graph is then:



Partition lattices

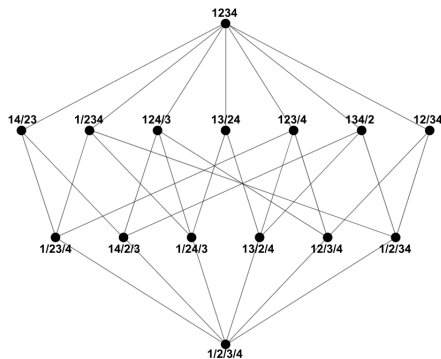
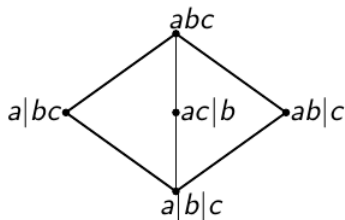
Definition 10 (cf. Grätzer, 2011: Section 4.1)

If A is a non-empty set, then the *partition lattice* (or the *equivalence lattice*) of A is the lattice whose elements are the equivalence relations of A , ordered by set inclusion.

Partition lattices

Definition 10 (cf. Grätzer, 2011: Section 4.1)

If A is a non-empty set, then the *partition lattice* (or the *equivalence lattice*) of A is the lattice whose elements are the equivalence relations of A , ordered by set inclusion.



Partition lattices and graph congruence lattices

Lemma 11

Let P be a sublattice of P_n , the partition lattice for n elements, and let G be a graph with n vertices. Then there is an isomorphism from P to a sublattice of $\text{Con}(G)$.

Partition lattices and graph congruence lattices

Lemma 11

Let P be a sublattice of P_n , the partition lattice for n elements, and let G be a graph with n vertices. Then there is an isomorphism from P to a sublattice of $\text{Con}(G)$.

Proof outline:

- Let $S \subseteq \text{Con}(G)$ contain all equivalence relations in P , paired with the congruence-edge set containing all possible edges, ε_1 .
- Show S is a sublattice of $\text{Con}(G)$.

Partition lattices and graph congruence lattices

Lemma 11

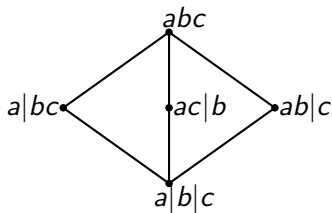
Let P be a sublattice of P_n , the partition lattice for n elements, and let G be a graph with n vertices. Then there is an isomorphism from P to a sublattice of $\text{Con}(G)$.

Proof outline:

- Let $S \subseteq \text{Con}(G)$ contain all equivalence relations in P , paired with the congruence-edge set containing all possible edges, ε_1 .
- Show S is a sublattice of $\text{Con}(G)$.
- The map $\sigma : P \rightarrow S$, as $\sim_a \mapsto (\sim_a, \varepsilon_1)$ is a lattice isomorphism.

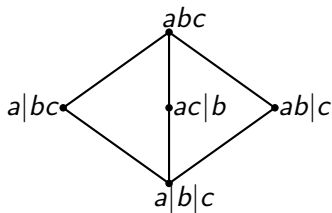
When are graph congruence lattices distributive?

The partition lattice for a three element set $\{a, b, c\}$ is:



When are graph congruence lattices distributive?

The partition lattice for a three element set $\{a, b, c\}$ is:

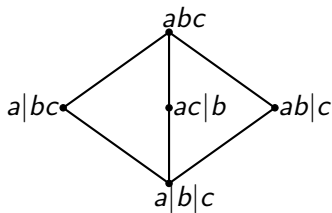


Proposition 12

For any natural number n , the partition lattice of n elements, P_n , is isomorphic to a sublattice of the partition lattice of $n + 1$ elements, P_{n+1} .

When are graph congruence lattices distributive?

The partition lattice for a three element set $\{a, b, c\}$ is:



Proposition 12

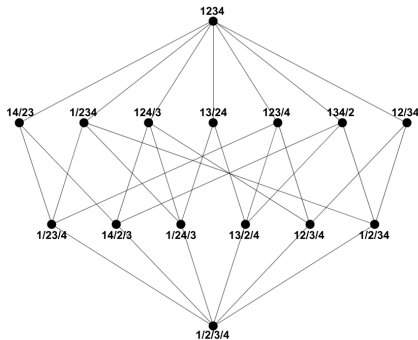
For any natural number n , the partition lattice of n elements, P_n , is isomorphic to a sublattice of the partition lattice of $n + 1$ elements, P_{n+1} .

Theorem 13

The congruence lattice of any graph with three or more vertices is non-distributive.

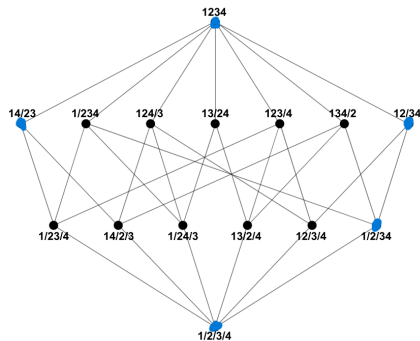
When are graph congruence lattices modular?

The partition lattice for a 4 element set $\{1, 2, 3, 4\}$ is:



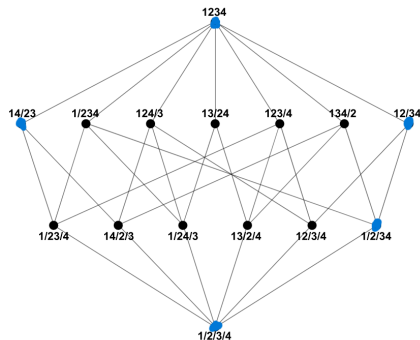
When are graph congruence lattices modular?

The partition lattice for a 4 element set $\{1, 2, 3, 4\}$ is:



When are graph congruence lattices modular?

The partition lattice for a 4 element set $\{1, 2, 3, 4\}$ is:



Theorem 14

The congruence lattice of any graph with four or more vertices is non-modular.

Identities of congruence lattices

Theorem 15 (Pudlák and Tůma, 1980)

Every finite lattice, L , can be embedded in a finite partition lattice, P_n .

Lemma 16 (cf. Grätzer, 2011: Lemma 59)

Identities are preserved under the formation of sublattices.

Theorem 17

There is no non-trivial lattice identity that all graph congruence lattices satisfy.

Structure of graph congruence lattices

Theorem 18

Let G be a graph with n vertices, and let \sim_m be an equivalence relation on V_G . The then set of congruences on G with equivalence relation \sim_m forms a boolean sublattice of $\text{Con}(G)$.

Structure of graph congruence lattices

Theorem 18

Let G be a graph with n vertices, and let \sim_m be an equivalence relation on V_G . The then set of congruences on G with equivalence relation \sim_m forms a boolean sublattice of $\text{Con}(G)$.

Proof outline:

- Let $\{\theta_{m1}, \theta_{m2}, \dots, \theta_{mk}\}$ be the set of congruences with equivalence relation \sim_m . We first establish that this is a sublattice of $\text{Con}(G)$.

Structure of graph congruence lattices

Theorem 18

Let G be a graph with n vertices, and let \sim_m be an equivalence relation on V_G . The then set of congruences on G with equivalence relation \sim_m forms a boolean sublattice of $\text{Con}(G)$.

Proof outline:

- Let $\{\theta_{m1}, \theta_{m2}, \dots, \theta_{mk}\}$ be the set of congruences with equivalence relation \sim_m . We first establish that this is a sublattice of $\text{Con}(G)$.
- Show that $E_G \cup E_{G'} - \varepsilon_{ms}$, is separated into equivalence classes C_1, \dots, C_p by \sim_m , where, if one member of the equivalence class is in ε_{mx} , then all members of that equivalence class are in ε_{mx} .

Structure of graph congruence lattices

Theorem 18

Let G be a graph with n vertices, and let \sim_m be an equivalence relation on V_G . The then set of congruences on G with equivalence relation \sim_m forms a boolean sublattice of $\text{Con}(G)$.

Proof outline:

- Let $\{\theta_{m1}, \theta_{m2}, \dots, \theta_{mk}\}$ be the set of congruences with equivalence relation \sim_m . We first establish that this is a sublattice of $\text{Con}(G)$.
- Show that $E_G \cup E_{G'} - \varepsilon_{ms}$, is separated into equivalence classes C_1, \dots, C_p by \sim_m , where, if one member of the equivalence class is in ε_{mx} , then all members of that equivalence class are in ε_{mx} .
- Show that $\{\varepsilon_{m1}, \varepsilon_{m2}, \dots, \varepsilon_{mk}\} = \{\varepsilon_{ms} \cup (\cup_{i \in S} C_i) \mid S \in \mathcal{P}(\{1, \dots, p\})\}$.

Structure of graph congruence lattices

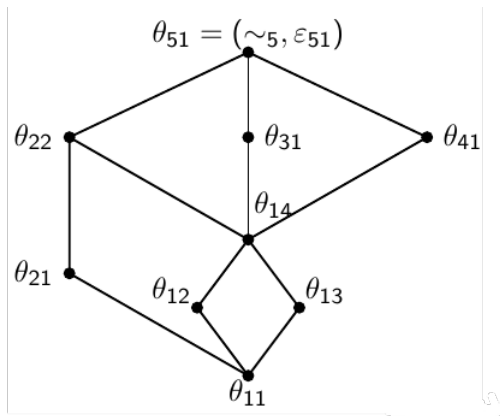
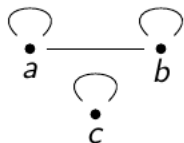
Theorem 18

Let G be a graph with n vertices, and let \sim_m be an equivalence relation on V_G . The then set of congruences on G with equivalence relation \sim_m forms a boolean sublattice of $\text{Con}(G)$.

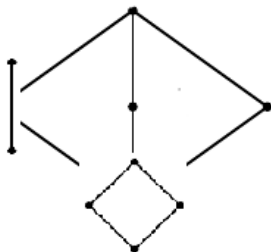
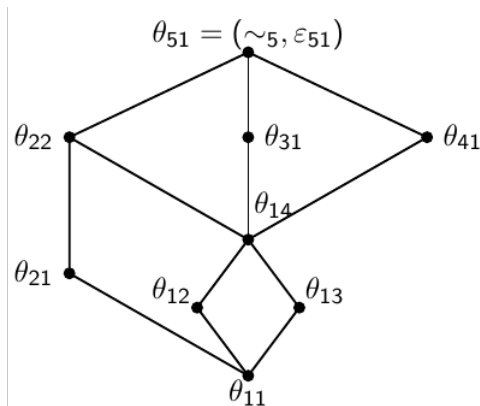
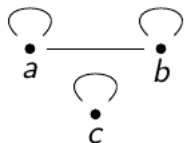
Proof outline:

- Let $\{\theta_{m1}, \theta_{m2}, \dots, \theta_{mk}\}$ be the set of congruences with equivalence relation \sim_m . We first establish that this is a sublattice of $\text{Con}(G)$.
- Show that $E_G \cup E_{G'} - \varepsilon_{ms}$, is separated into equivalence classes C_1, \dots, C_p by \sim_m , where, if one member of the equivalence class is in ε_{mx} , then all members of that equivalence class are in ε_{mx} .
- Show that $\{\varepsilon_{m1}, \varepsilon_{m2}, \dots, \varepsilon_{mk}\} = \{\varepsilon_{ms} \cup (\cup_{i \in S} C_i) \mid S \in \mathcal{P}(\{1, \dots, p\})\}$.
- The map $\varepsilon_{mx} \mapsto (\sim_m, \varepsilon_{mx})$ is then an isomorphism from a boolean lattice to the congruences with equivalence relation \sim_m .

Structure of graph congruence lattices



Structure of graph congruence lattices



Conclusion

What we have achieved:

- Provided necessary conditions for modularity and distributivity for congruence lattices
- Shown that there are no non-trivial lattice identities satisfied by all congruence lattices
- Explained the basic structure of congruence lattices

Conclusion






What we have achieved:

- Provided necessary conditions for modularity and distributivity for congruence lattices
- Shown that there are no non-trivial lattice identities satisfied by all congruence lattices
- Explained the basic structure of congruence lattices

What can be done from here:

- Investigate the addition of edges
- Investigate what characteristics lead to non-modularity for 3 element graphs
- Investigate non-identities (such as semi-modularity and semi-distributivity)
- Investigate the properties of the congruence algebras of graphs without loops

References

-  Broere, I., Heidema, J., and Veldsman, S. (2020). Congruences and Hoehnke Radicals on Graphs. *Discuss. Math. Graph Theory*, 40:1067–1084.
-  Burris, S. and Sankappanavar, H.P. (2012). *A Course in Universal Algebra*. The Millennium Edition.
-  Davey, B.A. and Priestley, H.A. (2002). *Introduction to Lattices and Order*, Second Edition. Cambridge: Cambridge University Press.
-  Grätzer, G. (2011). *Lattice Theory: Foundation*. doi: 10.1007/978-3-0348-0018-1
-  Pudlák, P. and Tůma, J. (1980). Every finite lattice can be embedded in a finite partition lattice. *Algebra Universalis*, 10.